

# STIC Database Tracking Number 162239

TO: Richard Crispino Location: REM 8D55

Art Unit : 1700 August 11, 2005

Case Serial Number: 10/620776

From: Mrs. Kendra Banks

Location: EIC 1700

**REM 4B28** 

Phone: 571-272-2516

Kendra.Mellerson@uspto.gov

## Search Notes

No Cases Reported

US 6,261,737



### Current session 11/08/2005

Query/Command: N

..FILE / ..INFO / ..GUIDE

**Query/Command: FILE PLUSPAT** 

QUESTEL - Time in minutes: 0,63

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Search statement 1

Query/Command: US6261737/PN

#### \*\* SS 1: Results 1

Search statement 2

Query/Command: PRT FULL NONSTOP LEGALALL

1/1 PLUSPAT - @QUESTEL-ORBIT - image

PN - US6261737 B1 20010717 [US6261737]

TI - (B1) Polymethine compounds, method of producing same, and use thereof

PA - (B1) YAMAMOTO CHEMICALS INC (US)

PA0 - Yamamoto Chemicals, Inc., Osaka [JP]

IN - (B1) FUJITA SHIGEO (JP); SASAKI NOBUAKI (JP); IWASAKI YASUHISA

(JP)

**AP** - US44725799 19991123 [1999US-0447257]

**PR** - JP35692798 19981130 [1998JP-0356927]

IC - (B1) G03C-001/72 G03F-007/004

EC - C07D-491/04 C07D-317:00A C07D-209:00A

B41M-005/40F2 C09B-023/00D

PCL - ORIGINAL (O): 430270100; CROSS-REFERENCE (X): 008659000 008690000 101453000 101467000 430302000 430944000 430945000

**DT** - Corresponding document

CT - US4871656; EP0387357 A1; EP0438123 A2; EP1006116 A1

STG - (B1) U.S. Patent (no pre-grant pub.) after Jan. 2, 2001

AB - The invention provides near infrared absorbers showing high light-to-heat conversion efficiency and high sensitivity to laser beams whose emission region is within the range of 750 nm to 900 nm, plates for direct printing plate making, and novel compounds which can be used in such absorbers or plates. The compounds are polymethine compounds of the general formula (I) shown below and the near infrared absorbers comprise the polymethine compounds. (Chemical Structure image '1' not included in text)

In the formula, R1 represents an alkyl group, which may optionally be substituted, R2 represents a hydrogen atom or a lower alkyl group, R3 and R4 each independently represents a lower alkyl group or R3 and R4 may combinedly form a cyclic structure, L is an alkylene group which is required for the formation of a cyclic structure and may optionally be substituted, one or more carbon atoms of which cyclic structure may be replaced by some other atom(s) or atomic group(s), D and E each independently represents an oxygen atom or a methylene group, X represents a hydrogen or halogen atom or a substituted amino group, and Z represents a charge-neutralizing ion.

**UP** - 2001-29

·1/1 LGST - ©EPO

PN - US6261737 B1 20010717 [US6261737]

**AP** - US44725799 19991123 [1999US-0447257]

**ACT** - 19991123 US/AS-A

**ASSIGNMENT** 

OWNER: YAMAMOTO CHEMICALS, INC. 43, YUGECHOMINAMI 1-

CHOME; EFFECTIVE DATE: 19991111

ASSIGNMENT OF ASSIGNORS INTEREST; ASSIGNORS: FUJITA,

SHIGEO;SASAKI, NOBUAKI;IWASAKI, YASUHISA;REEL/FRAME:010417/0617

20040817 US/RF-A REISSUE APPLICATION FILED

**EFFECTIVE DATE: 20030717** 

**UP** - 2004-35

1/1 CRXX - ©CLAIMS/RRX

**PN** - 6,261,737 A 20010717 [US6261737]

PA - Yamamoto Chemicals Inc JP

ACT - 20030717 REISSUE REQUESTED

ISSUE DATE OF O.G.: 20040817

REISSUE REQUEST NUMBER: 10/620776

**EXAMINATION GROUP RESPONSIBLE FOR REISSUEPROCESS: 1752** 

## Reissue Patent Number:

Search statement 2

## Query/Command: FILE INPADOC

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PLUSPAT - Time in minutes : 0,82
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standard price list
                            Estimated cost: 2.25 USD
Records displayed and billed : 1
Estimated cost: 1.40 USD
Cost estimated for the last database search: 3.65 USD
Estimated total session cost
                                               4.33 USD
            - Time in minutes: 0,14
The cost estimation below is based on Questel's
standard price list
                           Estimated cost: 0.18 USD
Records displayed and billed : 1
                           Estimated cost: 0.65 USD
Legal-Status informations
                         : 1
                            Estimated cost: 0.50 USD
Cost estimated for the last database search: 1.33 USD
                                                5.66 USD
Estimated total session cost
            - Time in minutes : 0,01
The cost estimation below is based on Questel's
standard price list
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                                              5.70 USD
                           Estimated cost :
Legal-Status informations
                                              0.50 USD
6.21 USD
                            Estimated cost :
Cost estimated for the last database search :
Estimated total session cost
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            - Time in minutes: 0,01
The cost estimation below is based on Questel's
standard price list
Estimated cost : 0.02 USD Cost estimated for the last database search : 0.02 USD
Estimated total session cost
                                              11.89 USD
Selected file: INPADOC
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Search statement 1

## Query/Command: FAM US6261737/PN

1 Patent Groups

#### \*\* SS 1: Results 6

Search statement 2

## **Query/Command: FAMSTATE NONSTOP**

## 1/6 INPADOC - @INPADOC

PN - DE 69910333 C0 20030918 [DE69910333]

TI - Polymethin verbindungen, ihre verfahren zur herstellung und ihre verwendung

IN - FUJITA SHIGEO [JP]; SASAKI NOBUAKI [JP]; IWASAKI YASUHISA [JP]

PA - YAMAMOTO CHEMICALS INC [JP]

**AP** - DE 69910333/99-A 19991119 [1999DE-6010333]

PR - JP 356927/98-A 19981130 [1998JP-0356927]

IC - C07D-491/056; C07D-491/048; C07D-209/70; C09B-023/08; B41M-005/26; C07D-491/04

#### 1/1 LEGALI - ©EPO

PN - DE69910333 D1 20030918 [DE69910333]DE69910333 T2 20040603 [DE69910333]

**AP** - DE69910333 19991119 [1999DE-6010333]

**ACTE** - 20040909 DE/8364-A [+]

NO OPPOSITION DURING TERM OF OPPOSITION

**UP** - 2004-37

#### 2/6 INPADOC - @INPADOC

PN - DE 69910333 T2 20040603 [DE69910333]

TI - Polymethin verbindungen, ihre verfahren zur herstellung und ihre verwendung

IN - FUJITA SHIGEO [JP]; SASAKI NOBUAKI [JP]; IWASAKI YASUHISA [JP]

PA - YAMAMOTO CHEMICALS INC [JP]

**AP** - DE 69910333/99-A 19991119 [1999DE-6010333]

PR - JP 356927/98-A 19981130 [1998JP-0356927]

IC - C07D-491/056; C07D-491/048; C07D-209/70; C09B-023/08; B41M-005/26; C07D-491/04

1/1 LEGALI - ©EPO

PN - DE69910333 D1 20030918 [DE69910333]DE69910333 T2 20040603

[DE69910333]

**AP** - DE69910333 19991119 [1999DE-6010333]

ACTE - 20040909 DE/8364-A [+]

NO OPPOSITION DURING TERM OF OPPOSITION

**UP** - 2004-37

3/6 INPADOC - @INPADOC

PN - EP 1006116 A1 20000607 [EP1006116]

TI - POLYMETHINE COMPOUNDS, METHOD OF PRODUCING SAME, AND

**USE THEREOF** 

LA - ENG

IN - FUJITA SHIGEO [JP]; SASAKI NOBUAKI [JP]; IWASAKI YASUHISA [JP]

PA - YAMAMOTO CHEMICALS INC [JP]

**AP** - EP 99309223/99-A 19991119 [1999EP-0309223]

PR - JP 356927/98-A 19981130 [1998JP-0356927]

IC - C07D-491/056; C07D-491/048; C07D-209/70; C09B-023/08; B41M-005/26;

C07D-491/04; C07D-491/056; C07D-317/00; C07D-209/00; C07D-491/048;

C07D-307/00; C07D-209/00

DS - DE\* FR\* GB\*

1/1 LEGALI - ©EPO

PN - EP1006116 A1 20000607 [EP1006116]EP1006116 B1 20030813 [EP1006116]

**AP** - EP99309223 19991119 [1999EP-0309223]

**ACTE** - 20000607 EP/AK-A [+]

**DESIGNATED CONTRACTING STATES:** 

DE FR GB

20000607 EP/AX-A [+]

EXTENSION OF THE EUROPEAN PATENT TO

AL;LT;LV;MK;RO;SI

20010117 EP/17P-A [+]

REQUEST FOR EXAMINATION FILED

**EFFECTIVE DATE: 20001120** 

20010221 EP/AKX-A [+]

PAYMENT OF DESIGNATION FEES

DE FR GB

20020515 EP/17Q-A [+]

FIRST EXAMINATION REPORT

EFFECTIVE DATE: 20020402

20030813 EP/AK-A [+]
DESIGNATED CONTRACTING STATES:
DE FR GB

20030813 EP/REG-A; GB/FG4D [+] GB: EUROPEAN PATENT GRANTED <GB>

20030918 EP/REF-A CORRESPONDS TO: (DE 69910333 20030918 [DE69910333])

20040611 EP/ET-A [+] FR: TRANSLATION FILED

20040804 EP/26N-A [+] NO OPPOSITION FILED EFFECTIVE DATE: 20040514

**UP** - 2004-32

4/6 INPADOC - @INPADOC

PN - EP 1006116 B1 20030813 [EP1006116]

TI - POLYMETHINE COMPOUNDS, METHOD OF PRODUCING SAME, AND USE THEREOF

LA - ENG

IN - FUJITA SHIGEO [JP]; SASAKI NOBUAKI [JP]; IWASAKI YASUHISA [JP]

PA - YAMAMOTO CHEMICALS INC [JP]

**AP** - EP 99309223/99-A 19991119 [1999EP-0309223]

PR - JP 356927/98-A 19981130 [1998JP-0356927]

IC - C07D-491/056; C07D-491/048; C07D-209/70; C09B-023/08; B41M-005/26; C07D-491/04; C07D-491/056; C07D-317/00; C07D-209/00; C07D-491/048; C07D-307/00; C07D-209/00

DS - DE\* FR\* GB\*

1/1 LEGALI - ©EPO

PN - EP1006116 A1 20000607 [EP1006116]EP1006116 B1 20030813 [EP1006116]

**AP** - EP99309223 19991119 [1999EP-0309223]

ACTE - 20000607 EP/AK-A [+]
DESIGNATED CONTRACTING STATES:
DE FR GB

20000607 EP/AX-A [+] EXTENSION OF THE EUROPEAN PATENT TO AL;LT;LV;MK;RO;SI 20010117 EP/17P-A [+] REQUEST FOR EXAMINATION FILED EFFECTIVE DATE: 20001120

20010221 EP/AKX-A [+]
PAYMENT OF DESIGNATION FEES
DE FR GB

20020515 EP/17Q-A [+] FIRST EXAMINATION REPORT EFFECTIVE DATE: 20020402

20030813 EP/AK-A [+]
DESIGNATED CONTRACTING STATES:
DE FR GB

20030813 EP/REG-A; GB/FG4D [+] GB: EUROPEAN PATENT GRANTED <GB>

20030918 EP/REF-A CORRESPONDS TO: (DE 69910333 20030918 [DE69910333])

20040611 EP/ET-A [+] FR: TRANSLATION FILED

20040804 EP/26N-A [+] NO OPPOSITION FILED EFFECTIVE DATE: 20040514

**UP** - 2004-32

#### 5/6 INPADOC - ©INPADOC

PN - JP 2000226528 A2 20000815 [JP2000226528]

TI - POLYMETHINE COMPOUND, ITS PRODUCTION AND USE THEREOF

IN - FUJITA SHIGEO; SASAKI NOBUAKI; IWASAKI YASUHISA

PA - YAMAMOTO CHEMICALS INC

**AP** - JP 335362/99-A 19991126 [1999JP-0335362]

PR - JP 335362/99-A 19991126 [1999JP-0335362] JP 356927/98-A 19981130 [1998JP-0356927]

IC - C09B-023/00; B41M-005/26; C07D-491/048; C07D-491/056; C07D-491/107; C07D-491/113; G03C-001/20; G03F-007/004; G11B-007/24; B41N-001/14

## 6/6 INPADOC - @INPADOC

PN - US 6261737 BA 20010717 [US6261737]

TI - POLYMETHINE COMPOUNDS, METHOD OF PRODUCING SAME, AND USE THEREOF

IN - FUJITA SHIGEO [JP]; SASAKI NOBUAKI [JP]; IWASAKI YASUHISA [JP]

PA - YAMAMOTO CHEMICALS INC [US]

**AP** - US 447257/99-A 19991123 [1999US-0447257]

PR - JP 356927/98-A 19981130 [1998JP-0356927]

IC - G03C-001/72; G03F-007/004

## 1/1 LEGALI - ©EPO

PN - US6261737 B1 20010717 [US6261737]

**AP** - US44725799 19991123 [1999US-0447257]

ACTE - 19991123 US/AS-A

ASSIGNMENT

OWNER: YAMAMOTO CHEMICALS, INC. 43, YUGECHOMINAMI 1-

CHOME; EFFECTIVE DATE: 19991111

ASSIGNMENT OF ASSIGNORS INTEREST; ASSIGNORS: FUJITA,

SHIGEO;SASAKI, NOBUAKI;IWASAKI, YASUHISA;REEL/FRAME:010417/0617

20040817 US/RF-A

REISSUE APPLICATION FILED

**EFFECTIVE DATE: 20030717** 

**UP** - 2004-35

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#### LEVEL 1 - 1 PATENT

1. 6261737 , July 17, 2001 , Polymethine compounds, method of producing same, and use thereof, Fujita, Shigeo - Osaka, Japan (JP); Sasaki, Nobuaki - Kyoto, Japan (JP); Iwasaki, Yasuhisa - Nara, Japan (JP), 447257 (09), Yamamoto Chemicals, Inc., Osaka, Japan (JP), 03, November 23, 1999 - ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS)., YAMAMOTO CHEMICALS, INC. 43, YUGECHOMINAMI 1-CHOME, YAO OSAKA 584-0 JAPAN, Reel and Frame Number: 10417/0617

CORE TERMS: compound, laser, formula, atom, layer, infrared, near, polymethine, resin, dye ...

LEVEL 1 - 1 OF 1 PATENT

#### UNITED STATES PATENT AND TRADEMARK OFFICE GRANTED PATENT

6261737

July 17, 2001

Polymethine compounds, method of producing same, and use thereof

REISSUE: July 17, 2003 - Reissue Application filed Ex. Gp.: 1752; Re. S.N. 10/620,776 (O.G. August 17, 2004)

APPL-NO: 447257 (09)

FILED-DATE: November 23, 1999

GRANTED-DATE: July 17, 2001

CORE TERMS: compound, laser, formula, atom, layer, infrared, near, polymethine,

resin, dye ...

6261737 OR 6,261,737

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For further explanation, press the H key (for HELP) and then the ENTER key.